PCT

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ^{5} : A61N 1/34, 1/32	A1	(11) International Publication Number: WO 94/17855 (43) International Publication Date: 18 August 1994 (18.08.94)

(21) International Application Number: PCT/GB94/00211

(22) International Filing Date: 4 February 1994 (04.02.94)

(30) Priority Data:
9302335.6   5 February 1993 (05.02.93)   GB

(71)(72) Applicants and Inventors: MACDONALD, Alexander, John, Ranald [GB/GB]; 19 Richmond Hill, Bristol BS8 1BA (GB). COATES, Timothy, William [GB/GB]; Ash Green Cottage, Front Street, Churchill, Bristol BS19 5NB (GB).

(74) Agent: ARMITAGE, Ian, M.; Mewburn Ellis, York House, 23 Kingsway, London WC2B 6HP (GB).

(81) Designated States: AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, LV, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published
With international search report.

(54) Title: ELECTROTHERAPEUTIC APPARATUS
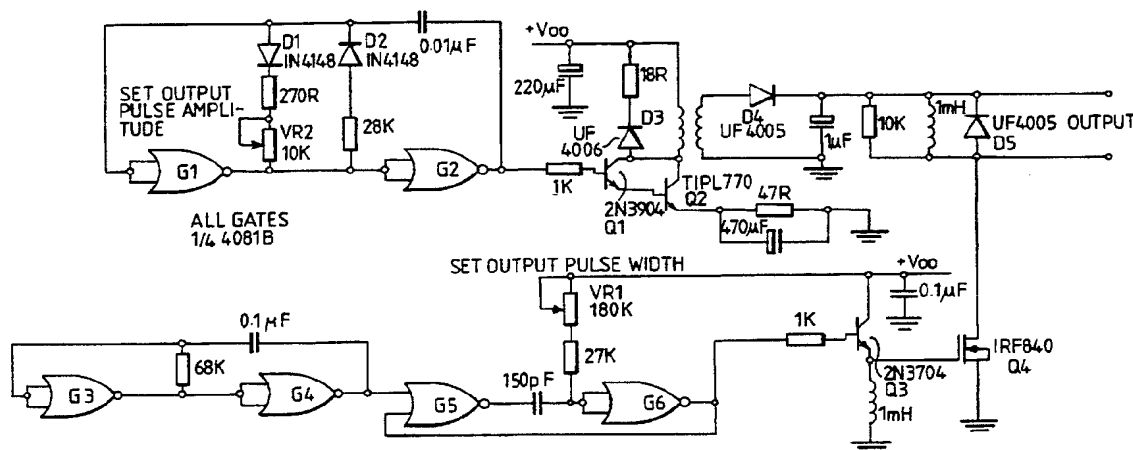
(57) Abstract

An apparatus for producing analgesia through electrical stimulation is disclosed wherein the apparatus comprises two or more electrodes adapted to supply electrical signals to two or more locations on the surface of a body overlying the central nervous system. The apparatus further comprises signal generating means connectable to the electrodes which supply electrical pulses featuring rapid rising and falling phases at parameters of pulse width, frequence and amplitude such that analgesic effects tend to be stimulated in the central nervous system or its adnexa, while stimulating peripheral nerves that lie between the electrodes and the central nervous system to a lesser extent or not at all.

ELECTROTHERAPEUTIC APPARATUS

This invention relates to the field of electrotherapy,
and provides apparatus for a particular application of such
therapy.

The nervous system is able to respond to an
interrupted DC or alternating current, provided the
repetition rates or frequencies do not exceed values that
vary according to the particular properties of each class
of nerve. Severe dull or aching pain is associated with
the firing of unmyelinated or C fibres (Class IV). These
fibres cannot follow a maintained frequency higher than
about 2 Hz. In addition they have a high threshold and
normally only respond to excessive mechanical or thermal
stimulation that injures cells. Sharp pain is associated
with the firing of Aδ (Class III) fibres. They cannot
follow electrical stimulation rates higher than about 50-80
Hz. There is also a class of nerve fibres that may
faithfully follow electrical stimulation at rates up to
approximately 800 Hz; these are called Aß or Class II
fibres. The Class II receptors have a low threshold to any
form of stimulation and respond to such innocuous events as
movement or light touch.

Melzack and Wall (1965) and Wall (1986) describe how
analgesia can be produced when the Class II or Aß fibres
are stimulated electrically at 100 Hz, a frequency that
none of the other nerves can follow.

Wall (1986) produced these effects by applying the
current through needles inserted into the patient's
nerves. To avoid the inconvenience and possible
complications of inserting needles into nerves, surface
electrodes were later employed, leading to the term
Transcutaneous (Electrical) Nerve Stimulation (TNS or
TENS).

Woolf (1989) has reviewed the use of these devices,
and described their electrical parameters. The usual TENS

2

machine develops a pulse, whose width can be varied from 50
- 500 µs, employing a current whose amplitude can be
increased from 0 - 50 mA, and whose frequency is generally
80 or 100 Hz.

5   The TENS pulse width (50-500 µs) is intended to be
sufficiently long in duration to excite Aß nerves to cause
a painless tingling at low voltage.

In known TENS devices, the maximum current density and
sensation tends to occur in tissues immediately underlying
10   the electrodes, and only a proportion of the applied
current reaches the deep tissues. Thus, when choosing a
comfortable level of stimulation, the patient is first
guided by sensations arising from peripheral nerve
stimulation in tissues immediately below the electrodes.
15   If the amplitude is increased to try and produce more
current in the deep tissues, pain may be produced when
there is sufficient voltage to recruit Aδ fibres. This
tends to limit the amplitude of TENS that can be tolerated
by a patient.

20   Although tingling produced by the TENS method is
usually painless and reasonably well accepted by patients,
it tends to produce a rather short-lived, localised region
of analgesia, as each electrode probably stimulates a few
hundred Aß fibres in the immediate vicinity of the
25   electrodes. Accordingly, in patients where there may be
several large areas of the body in pain, there is a need to
improve the method to produce a more long-lasting and
generalised form of analgesia.

In an attempt to fire more Aß fibres, wire electrodes
30   have been implanted in the spinal canal to stimulate the
central nervous system itself, in particular the dorsal
columns (tracts through which the Aß fibres pass up and
down the spinal chord). This is called Dorsal Column
Stimulation, and is reviewed by Krainick et al (1989). The
35   present technique employs small bare tips of otherwise
insulated wires, probably stimulating a few thousand Aß
fibres. However, the implantation of the wires involves

surgery and the risk of infection along the track of the wires.

In order to avoid the risks of implanting electrodes in direct contact with the central nervous system, US3835833 (Limoge) describes application to a patient's head of intermittent 4 ms blocks of high frequency current (166 KHz), each block being repeated 100 times per second, designed to penetrate the brain from two surface electrodes. Breaking the signal up into these trains tends to excite peripheral nerves and Stinus et al (1990) have observed that such stimulation only raises pain thresholds when opiates have been administered.

Accordingly, the present invention provides an apparatus for producing electrical stimulation wherein the apparatus comprises two or more electrodes adapted to supply electrical signals to two or more locations on the surface of a body overlying the central nervous system, wherein the apparatus further comprises signal generating means connectable to the electrodes which supply electrical pulses having rapid rising and falling phases at parameters of pulse width, frequency and amplitude such that analgesic effects tend to be stimulated in the central nervous system while stimulating peripheral nerves that lie between the electrodes and the central nervous system to a lesser extent or not all.

Thus the present invention allows analgesic effects all over the body to be initiated in the central nervous system, in particular the spinal cord, preferably without requiring prior medication or causing any discomfort. We refer to this as Transcutaneous Spinal Electroanalgesia (TSE), and it depends on electrical signal being applied via surface electrodes to initiate changes in the central nervous system, for instance the spinal cord lying some 5 cms deep to the skin, without exciting the peripheral nerves in the intervening tissues.

In the context of the present invention, the term "central nervous system" should, except where the context

demands otherwise, be interpreted to include the brain and
spinal cord, together with their adnexa, ie other neural
tissues that are classed as part of the peripheral nervous
sytem, but are in close anatomical proximity to the central
nervous system, eg the ganglia, autonomic or somatic, such
as the dorsal root ganglia.

The approach we have adopted here accords with
apparent stimulation of the spinal cord by summation. This
may be explained as follows.

The minimum current required to stimulate each class
of nerve has been studied (Brinley 1974, pages 34-36).
This minimum value is called a threshold stimulus. To
elicit a response in a nerve, the threshold electrical
stimulus must be applied for a finite time; the weaker the
current, the greater the time required for stimulation. If
the strength of the stimulating current is below a minimum
quantity, the current may flow indefinitely without
exciting the nerve. On the other hand, a current lasting
less than a minimum length of time will not stimulate,
however great its amplitude. There are great differences,
however, between the responsiveness of the various classes
of nerves to periods of stimulation at various amplitudes.
For example, Li et al (1976, page 72, Fig. 4) have shown
(in a peripheral nerve of the cat) the minimum length of
time required to stimulate Aß fibres with a current of 0.2
mA is 6 µs; whereas at the same amplitude Aδ fibres and C
fibres require periods of stimulation at least a hundred
times as long in duration (i.e. in excess of 600 µs).

When an electrical stimulus is subthreshold (i.e. too
brief in duration and amplitude to cause a nerve to fire),
it still has an effect on the nerve that may make it more
responsive to another stimulus. Thus, the phenomenon of
summation occurs, when two or more subthreshold stimuli
combine to cause excitation.

Spatial summation may be described in the following
way. Although the effects of subthreshold electrical
stimulation of a nerve are at their greatest in the

immediate vicinity of the electrode, changes also take place in adjacent regions. Thus simultaneous subthreshold stimulation in two or more loci are able to summate to produce excitation.

5   Whether summation is the mechanism of action or not, TSE avoids stimulating peripheral nerves, yet provides a signal that produces effects in the central nervous system, possibly by stimulating vesicles to release opioids such as metenkephalin or dynorphin.

10   1.   The TSE Stimulator

One embodiment of the present invention employs a single pair of electrodes, typically having a size of 4 X 4 cm. Usually one electrode is placed on the skin of the mid-line of the back overlying one end of the portion of

15   spinal cord that requires stimulation, while the second is placed at the other end. In a similar manner, however, more than two electrodes could be arranged over the spinal cord.

We have also found that when electrodes are placed on

20   either side of the neck, analgesic effects may be obtained in the cranium. We have also attached electrodes to the skin overlying the brain, and have obtained analgesic effects depending on various parameters of stimulation, but side effects such as flashes of light and a metallic taste

25   are sometimes produced. Accordingly, the placement of the electrodes on regions overlying the spinal cord is preferred as this has not produced any known side effects.

Although in general electrodes are placed on the surface of a body, in some circumstances it may be

30   desirable to implant the electrodes. This is generally not preferred due to the risk of infection associated with this procedure.

In order to stimulate the spinal cord without producing discomfort, we have studied the effects of a TSE

35   stimulator designed to produce a pulses having both rapid rise and fall phases. This device has been used in the following experiments, described below with reference to

the circuit diagram shown in Fig. 1. It features an output
pulse which is variable in amplitude between approximately
450V or less and has a pulse width typically of 10µs or
less, eg 1.5-4 µs. This pulse is repeated at intervals of
5   approximately 4µs or more. The stimulator is designed to
give pulses having rapid rise and fall phases, eg a
substantially rectangular pulse wave, even at maximum
amplitude when driving 4 x 4 cm stick-on skin electrodes.
Both monopolar and bipolar pulses having rapid rise and
10   fall phases can be applied to a patient via the electrodes.

This ability to control the shape of the output pulse
even when driving a capacitive load together with the
narrow pulse width used for stimulation constitutes the
major differences between this TSE stimulator and
15   conventional TENS-type stimulator machines. In the latter
devices, the shape of the output wave is substantially
dominated by the load impedence of the body, and the
minimum TENS pulse width is typically 100 times longer than
TSE. In addition, when applied at the normal TENS
20   frequency of 100Hz the amplitude of the pulse produced by
the TSE stimulator is higher than that of the conventional
TENS machines i.e. typically 180V, but even up to 1kV or
more, compared to 35-50V. The narrow 1-10 µs TSE pulses
can be delivered at higher frequencies than is possible
25   with the broader TENS pulses (typically 50-500 µs).
Typically it is possible to use signals having a frequency
up to about 250 kHz. We have made the unexpected discovery
that the higher the frequency we deliver to the patient
with TSE, the more rapid the onset of analgesia; for
30   example frequencies in the region of 150KHz produce
analgesia within 5-30 minutes, whereas 100 Hz takes 40-60
minutes. The analgesia obtained in this way indicates a
novel mode of action, as the frequency and pulse width
employed in TSE lie outside the limits of conventional
35   nerve stimulation.

Referring to the circuit diagram in Fig. 1, gates G1
and G2 form an astable multivibrator whose mark-space ratio

is determined by the diodes D1 and D2 and their associated
components. VR2 controls the duration of the output pulse
which drives the Darlington pair comprising transistor Q1
and Q2.   Q2 feeds a voltage step-up transformer the
5   secondary of which is connected via rectifier diode D4 to
a 1μF DC charge storage capacitor. Since VR2 controls the
energy supplied to the primary of the step-up transformer,
it controls the transformer's output and thus the amplitude
of the output pulse.

10   The pulse train appearing at the output of the
stimulator is determined by gates G3, G4, G5, G6 and the
associated circuitry. Gates G3, G4 and the associated RC
network comprise an astable multivibrator producing a
square wave running at 100Hz. Gates G5 and G6 comprise a
15   monostable multivibrator circuit whose pulse width can be
set by variable resistor VR1 and whose output is triggered
by the 100Hz input coming from the previously described
astable circuit. The output at gate 6 therefore provides
narrow pulses variable between approximately 4 and 10μs
20   appearing at intervals of 10ms.

These pulses drive transistor Q3 which is an emitter
follow buffer stage. This stage drives the output MOSFET
device Q4. A 1mH inductance is used as the emitter load
for Q3 to preserve the shape of the output pulse driving
25   Q4.   Q4 is used to switch the high voltage to the
electrodes. The 1mH choke in parallel with the output
preserves the fast rise and fall time of the pulse when
driving capacitative loads. The diode D5 in parallel with
the inductor restricts excursions during the falling phase
30   of the pulse to approximately -0.7 V, giving a
substantially monopolar pulse. In addition, the 1mH choke
in parallel with the output provides a failsafe mode of
operation, in that should the output device Q4 go short
circuit, thus applying the full charge to the skin
35   electrodes, the choke will provide a short circuit at DC
and very quickly pull the output low, thus protecting the
patient.

The main features of this stimulator circuit are that it provides a high voltage, that is up to approximately 450V, a narrow pulse width, around 1-10µs, and that this pulse has short rise and fall times, so that even under adverse output conditions a narrow pulse with short rise and fall times is maintained. This is useful for the kind of stimulation which we believe to be effective in producing analgesia by means of a painless form of electrical stimulation applied to the surface of the body that nevertheless produces effects in the central nervous system. In addition, the device has a safe failure mode since the patient is protected against continuous voltage at the output.

The foregoing circuitry is of course only one example, and may be modified in many ways to achieve a similar, or modified, effect. For example, a pair of output transistors arranged to switch a positive and a negative (with respect to ground) supply line respectively could, when driven appropriately, be made to produce mono and/or bipolar rectangular pulses of narrow width. While a pulse interval of 10 ms may be provided, giving a pulse frequency of about 100 Hz, the design may be modified to produce higher frequencies. Likewise, the pulse width can be varied in the region of 10 µs or less in the exemplified apparatus. Similarly the variable voltage output can be arranged to extend higher than 450V if desired.

In addition, the placement of a capacitor in series with one of the electrodes serves to isolate the patient from the possibility of direct current stimulation, which results from a build-up of voltage across the output inductor and can cause electrolytic lesions. This effect becomes more important at high frequencies, eg 150kHz and above. The series capacitor together with the load impedance presented by the patient form a differentiator circuit and a bipolar differential rectangular wave appears at the electrodes. Such an arrangement is very effective in producing analgesia, with the advantage that treatment

9

time is reduced (eg to less than 5 minutes) as it is possible to use high frequency pulses.

2.   Experimental

Surface electrodes were attached to the stimulator that produced a square wave pulse of 4-8 µs duration, at a rate of 100 pulses per second, at various amplitudes (voltages), to see whether the phenomenon of spatial summation could be produced in the spinal cord.

In the following description, the conventional notations for the spinal column will be used, namely: L1-L5 are the five lumbar vertebrae, T1-T12 are the twelve thoracic vertebrae, and C1-C7 are the seven cervical vertebrae; furthermore in adult man the spinal cord descends from the base of the brain in the cervical region and reaches only as far down the spine as T12, where it rapidly tapers to a point at the lower border of L1, there to give off a sheaf of roots of the lower spinal nerves called the cauda equina that descends further down the spinal canal.

When two 4 x 4 cm electrodes were placed close together anywhere on the mid-line of the back over the spine from T1 downwards, a tingling sensation only was produced.

However, if the electrodes were separated by a distance of 10 cms or so the levels between T1 and T12 could be perceived and described by the trained observer at a lower threshold than the tingling. It was a continuous feeling of warmth and painless, light pressure. However, this sensation is so mild in intensity, that many patients distracted by their aches and pains are unable to perceive it. Nevertheless amongst those that report this sensation, the most striking observation about it is its continuity; the discrete sensations produced by each pulse are not detectable, as it is when tingling is present. This new feeling may be called 'spinal cord sensation' as it is only obtained when the electrodes are placed in the immediate vicinity of the spinal cord itself.

It could not be obtained anywhere else on the body. We tried but failed to obtain it by placing electrodes over the median nerve in the forearm or over the anterior trunk or over the length of the left 6th intercostal nerve. This feeling was not obtainable, when the electrodes were placed over the spine from L2 downwards. Here the electrodes lay not over the cord however, but the spinal nerve roots lying in the cauda equina. If the electrodes were placed between the levels of T1-T12 but a few cms from the mid-line of the back, the spinal cord sensation was still obtainable; but if one moved out a hand's breadth (say ca 11cm) laterally from the spine then the feeling was lost. When the electrodes were located over the spinal cord, amplitudes capable of producing the spinal cord sensation were always lower than those capable of producing tingling sensation provided the stimulation was continuous and had a fast rise and fall time. This will be seen from the following two experiments where the anode was placed at T1, and the cathode at T12. In these examples the frequency was 100 Hz.

In the first experiment, TSE pulses that were near rectangular in shape and had both fast rise and fall times were investigated. The effects of continuous stimulation were compared with those engendered by intermittent 4ms trains of pulses with 6ms rest periods so that each train is repeated one hundred times a second. The amplitude was increased slowly to determine at what voltage spinal cord sensation and tingling could be perceived: it could only be perceived during continuous stimulation.

As the pulse width increased in duration the thresholds of spinal cord sensation and tingling became closer. At 10 μs there was scarcely any difference between the two.

In the second experiment, a pulse of a different shape was employed a fast rise time was maintained. However, the near rectangular shape was not present and the fall time decayed exponentially. Again continuous stimulation was

compared with intermittent. Spinal cord sensation was not
observed with either.

First Experiment: TSE Pulse With Fast Rise and Fall Times.

CONTINUOUS STIMULATION   INTERRUPTED STIMULATION

Pulse Width	Threshold Spinal cord Sensation	Threshold Tingling Sensation	Threshold Spinal Cord Sensation	Threshold Tingling Sensation
µs	V	V	V	V
2	96	118	-	98
4	46	65	-	55
6	29	40	-	38
8	28	32	-	28
10	22	23	-	22

Second Experiment: non-TSE Pulse With Fast Rise and
Exponential Fall Times.

CONTINUOUS STIMULATION   INTERRUPTED STIMULATION

Pulse Width	Spinal Cord Sensation	Tingling Sensation	Spinal cord Sensation	Tingling sensation
µs	V	V	V	V
2	-	43	-	35
4	-	32	-	31
6	-	26	-	23
8	-	20	-	18
10	-	17	-	16

12

Increased spinal cord sensation when the distance between the electrodes was increased

We found very surprisingly that provided the electrode that comprised the cathode was placed below the anode, the

5   amplitudes required to produce spinal cord sensation were reduced, the greater the separation between the electrodes. To support this observation, we tried to blind our study as much as possible.

Two pairs of electrodes were placed on the mid-line of

10   the back: one pair was arranged with its electrodes on T1 and T12, while the other pair was arranged with its electrodes placed at various distances apart, but never as far apart as the first pair. Indeed the electrodes of the second pair were always placed between those of the first

15   pair (i.e. between levels T3-T7).

The cables from the first pair of electrodes were deliberately muddled up by the experimenter, and the subject tried first one cable and then the other in a blind fashion to see which cable (when inserted into the

20   stimulator) produced the most spinal cord sensation.

Surprisingly, it was very difficult to locate the segmental level of the sensation once it was felt. However on all occasions, the electrodes separated from each other by being placed at T1 and T12 produced more sensation than

25   the pair of electrodes placed closer together at a given amplitude.

Provided TSE is employed with the cathode being placed below the anode in regions that overlie the spinal cord, the further apart the electrodes are placed, the lower the

30   amplitude is required to produce this unusual continuous spinal cord sensation. However, as expected, when the amplitude of TSE (at frequencies less than 800 Hz) is increased sufficiently to start exciting Aß fibres to cause a tingling sensation, this is less likely to occur at any

35   given voltage the further apart the electrodes are placed. For example when employing one pair of 4 x 4 cm electrodes disposed over the mid-line of the back, the following

amplitudes were recorded at a pulse width of 4µs and a frequency of 100Hz;

<u>Electrode size: 4 x 4 cm</u>

Electrode location	Amplitude: volts	
	Spinal cord sensation	Tingling sensation
T1, T2	not obtainable	84
T1, T6	76	96
T1, T12	70	100

<u>Effects of broadening the pulse width</u>

Then we investigated what happened if we broadened the pulse width. As one would expect the thresholds of both spinal cord sensation and tingling dropped as the pulse was broadened. On this occasion, we recorded not only spinal cord sensation, but also the pain thresholds of tingling.

<u>Electrode size: 4 x 4 cm</u>

Electrode location	Pulse width (µs)	Amplitude (volts)	
		Spinal cord sensation	Pain threshold tingling sensation
T1, T12	4	100	220
T1, T12	8	60	110

<u>Effects of treatment</u>

We then considered what would happen if spinal cord sensation was maintained for 10 minutes on a patient. He had a painful right knee for the past three weeks, associated with a tender medial ligament. An instrument called an algometer (that measures pressure exerted by the examiner on the patient's skin in kg/cm^2) was used to measure the mechanical pain thresholds, not only on the injured right knee but also the unaffected side.

Stimulation parameters: Size of electrodes: 2 x 4 cm;
Location of electrodes: T1, T12;
Time of stimulation: 10 minutes
Amplitude: 225 volts and Pulse width: 4 µs

Results:

Knee	Mechanical pain threshold (kg/cm²)	
	before stimulation	after stimulation
Right (injured)	1.1	3
Left (unaffected)	3.5	2

Thus within ten minutes treatment, the injured knee that had been 3.18 (3.5/1.1) times more tender than the unaffected side, became 0.66 (2/3) times less tender.

Conclusion

We may assess the beneficial effect as associated with well spaced electrodes over the spinal column, preferably no lower than T12, and for practical reasons it is usually difficult to attach an electrode to the body higher than T1; but these effects may still be obtained, if the upper electrode(s) are attached to the cervical or cranial regions. The pulse width is likely to be in the range of 1-10µs. The voltage need not be sufficient to cause tingling associated with Aß fibre excitation; thus it may be subthreshold for the selected pulse width. Preferably, the voltage with be less than 1kV.

At 600 Hz typically up to about 250V may be employed to produce analgesia at a 4 µs pulse width; but this voltage may need to be higher for a narrower pulse width, and could be lower for a broader width. At higher frequencies, unwanted heated effects begin to occur, so the voltage has to be decreased; for example, with a 1.5 µs pulse width and a frequency of 5KHz, 150V are sufficient, while at 150KHz a voltage of 25V was found to be effective.

The pulse shapes considered so far are monopolar, however bipolar pulses have been found to also produce analgesia. The latter may be useful in reducing electrolytic effects. As mentioned above, the electrolytic effects can be reduced by placing a capacitor in series with one of the electrodes.

The electrodes may be separate and individually applied to the skin, but for convenience, particularly to enable the patient to use the apparatus without help, they

15

may be incorporated into a harness which the patient can
wear, and adjust so that the electrodes make proper contact
with the skin overlying the desired spinal column regions.
If required, the electrodes may be implanted in the body
5   either in tissues near the spine or within the spinal canal
itself.

The Effects of TSE

Mechanical pain pressure thresholds may be obtained by
a spring loaded probe (called an algometer) and are
10   measured in kg/cm^2 (Reeves et al, 1986).

TSE stimulation tends to raise the mechanical pain
pressure thresholds in tender regions of the body, whereas
it may reduce the pain pressure thresholds in the
previously non-tender regions.

15   In the case of unilateral injuries, when the
tenderness of the injured area on one side was compared
with the same (uninjured) region on the other side, ratios
of non-tender/tender region pain pressure thresholds tend
to be reduced by TSE to unity ($p < 0.001$).

20   If a patient is in a good deal of pain in a particular
region on one side of the body only, the tender or injured
region has a low mechanical pain threshold, usually 1kg/cm^2
or less, whereas the threshold on the opposite (uninjured)
side of the body is usually 2 kg/cm^2 or more. Thus, if we
25   take a ratio of the non-injured/injured mechanical pain
thresholds, it will often be 2 or more.

Following 40 minutes or so TSE therapy, however, this
ratio tends to be reduced to 1. At relatively low TSE
frequencies, the mechanical pain threshold in the injured
30   region rises, whereas the pain threshold in the non-injured
regions falls. But at higher frequencies, the onset of
analgesia is more rapid, and there is a tendency for the
thresholds to rise in both regions: but the threshold in
injured region rises at a faster rate than in the non-
35   injured.

Studies of this kind, carried out on patients who only
have a tender region on one side of the body has led to an

unexpected discovery. Before treatment, sensitivity to light touch (measured with von Frey hairs (von Frey, 1896)), two point discrimination, warmth (measured by applying two equally warm steel rods on the body), and pin-prick (measured with weighted needles (Chan et al, 1992)) is reduced in the tender myofascial region, as compared with the non-tender.

Following a 40 minute period of TSE, however, these differences tend to disappear. The mechanical threshold in the injured area is raised, while the thresholds to all other types of sensation fall to the levels found on the uninjured side.

Despite the fact that the electrodes are always located over the spinal cord, these changes occur wherever the tender region lies, be it in the foot, hip, back, wrist shoulder or head or all of these regions simultaneously.

These observations suggest that TSE produces pain relief without introducing numbness in the manner of local anaesthesia. TSE tends to have the opposite effect of local anaesthesia and following this therapy, although the tenderness has been reduced, the patient's perception of pin-prick, warmth, light touch and ability to discriminate between two points tend to be heightened in the injured area.

When pain relief occurs as a result of TSE, one may observe a temporary but generalised vasodilatation (a warming up of the skin caused by relaxation of vascular tone usually brought about by a reduction in sympathetic activity that normally accompanies pain relief).

Apart from these findings, we have not observed any other changes. No numbness or loss of motor power occurs. There are no changes in reflexes, pulse rate or blood pressure. In common with the TENS and dorsal column stimulation, that has been used for the past two decades, there appear to be no known side effects from this type of stimulation.

Clinical Studies

In all the clinical studies detailed below TSE was carried out with two electrodes placed over the spinal cord.

In a study of 23 patients suffering from a number of painful, chronic, subacute and acute conditions, TSE produced an average of 70% pain relief for an average of 50 hours following the first treatment of no more than 45 minutes stimulation. These results are set out in table 1 on pages 22 and 23. Here a 4µs pulse width was employed at a frequency of 100 Hz. The voltage was increased in each case to try and produce a spinal cord sensation at approximately 180V. In those patients who were unable to perceive the spinal cord sensation, the voltage was increased until they just began to experience a tingling sensation, which experiments have shown to be more than sufficient to cause spinal cord sensation in those who can perceive it.

The effects of repeated TSE therapy were then investigated in a further group of 50 consecutive chronic pain sufferers (see table 2).

When the results for the first 100 consecutive patients were analysed, 63% of these patients, who had painful conditions of relatively recent origin (on average 2.6 years) required 3-5 treatments to produce a 60% or more subjective relief of pain during the treatment period. These patients appeared to derive a cumulative effect, ie it was found that when each treatment is repeated, the duration of relief provided tended to be longer.

However, 30% of these patients, who had more severe, long lasting conditions (on average of 12 years duration) did not benefit from this accumulative effect. To remain comfortable they required TSE to be repeated daily or every other day. However, as the location of the TSE electrodes are standardised, it is not difficult for relatives or carers or even the patient himself to continue TSE at home.

Surprisingly, if patients with conditions as neuro- or

18

psychogenic pains are excluded, only 7% of these severely affected patients did not obtain pain relief from TSE. This very low figure compares with the 60% failure rate of TENS.

Those patients who invariably fail to derive any form of relief from TSE tend to have very severe pain associated with mechanical spine disorders. These patients are often bed-bound and are usually awaiting orthopaedic or neurosurgical procedures. Often the skin has developed a hyperaesthesia (sensitivity to light touch) over large regions of the body. Other conditions that are associated with hyperaesthesia such as post-herpetic neuralgia also do not respond well. However, we have found that reversing the polarity of the electrodes appears to give some short term relief of hyperaesthesia.

The patients whose conditions responded well to treatment were those who are reasonably mobile and suffer more common pains that are not associated with pressure on nerve roots. Chronic myofascial or osteoarthritic pains in almost every region of the body such as knees, elbows or shoulders, also tend to do well with the electrodes placed at T1 and T12. For pains arising in the head itself, however, such as chronic sinusitis, jaw or dental pain, we found that the most effective location of electrodes were either side of the neck in the vicinity of the cervical segments of the cervical cord.

TSE has provided pain relief to those patients who have fibromyalgia, ME or post-viral disorders where they have pains in many regions simultaneously. However their feelings of fatigue were found to remain.

Chronic post-injury or post-operative pains tend to do well. But acute or active arthritic pains such as rheumatoid arthritis also may respond but to a much lesser degree.

In all these cases, regardless of the site of pain or the number of such sites, the electrodes are merely placed over the spinal cord.

<u>Controlled Clinical Trial.</u>

A controlled clinical trial of TSE has been carried out.
It received approval from the United Bristol Hospital Trust
Research Ethics Committee.   It was a randomised double-
5   blind, cross-over study of the pain relieving effects of
TSE versus TENS in patients suffering chronic pain of
musculoskeletal origin.

Eight consecutive 'stably unwell' adult patients, who
had intact nervous systems and a duration of daily
10   musculoskeletal noninceptive (as opposed to neurogenic or
psychogenic) pain for a year or more were studied.   Their
average age was 55 years, and the average duration of
continuous pain was 12,4 years; their average physical
disability index (Fairbank et al (1980)) or incapacity was
15   52.8 percent (0%, no incapacity; 100%, total incapacity).

Provided that various exclusion criteria did not
apply, the patients were randomly assigned to one of two
groups - TSE or TENS, in a double-blind cross-over manner,
so that on one occasion a patient might receive TENS and on
20   the next TSE, or vice versa.

Special apparatus was constructed, so that TSE or TENS
could be applied in such a way that only an external trial
coordinator knew which treatment was being applied on any
particular occasion.   In both cases the stimulation was
25   supplied to electrodes placed over the skin overlying the
spinal cord.

Neither the practitioner nor the patient was aware of
which type of treatment was actually in use on any
occasion.   In this way the patients acted as their own
30   controls, and received both types of treatment in a random,
'double-blind' order.

As pain is so difficult to quantify, the following six
measures of efficacy of each type of treatment were
studied.

35   Short Form McGill questionnaires (Melzack, 1987) were
used to indicate the severity of pain before and after each
treatment.   A pain reduction score (0, no reduction: 100,

complete reduction) and the number of hours this lasted
after each type of treatment gave an important indication
of the success or otherwise of each form of therapy.
Changes in physical signs (including measurements of
tenderness by algometry) and their severity, and the number
and size of tender regions gave further indication of the
effects of each type of treatment.

For the purpose of this clinical trial, each patient
needed to attend for two hour periods at four weekly
intervals.

Statistical analysis was performed by the non-
parametric Wilcoxon rank sum test to compare the results
obtained by TSE with TENS.

Four out of the six measures of efficacy revealed the
probability that these two treatments were equally
effective was less than 0.5% (ie $p < 0.005$). In the
remaining two measures of efficacy the probability was less
than 1% (ie $p < 0.01$).

When all the measures of efficacy were combined the
effects of TSE was shown to be significantly superior to
TENS ($p < 0.005$).

References

Brinley FJ (1974), Excitation and conduction in nerve
fibres. In: Medical Physiology (vol 1). Ed: Mountcastle
VB. 13th Ed. The CV Mosby Co, pp 34-76.

Chan AW, MacFarlane IA, Bowsher D, Campbell JA (1992),
Weighted needle pin prick thresholds, a simple test of
sensory function in diabetic peripheral neuropathy, J.
Neurol. Neurosurg. Psychiat. 55, 56-59.

Fairbank JCT, Couper-Mboat J, Davies JB and O'Brien JP
(1980), The Oswestry low back pain disability
questionnaire, J. Physiotherapy 66, 271-273.

Krainick J-U, Thoden U (1989), Spinal cord stimulation.
In: The Textbook of Pain. Eds: Wall PD, Melzack R. 2nd Ed.
Churchill Livingstone, pp 920-924.

Li CL, Bak A (1976), Excitability characteristics of the A-
and C- fibres in a peripheral nerve. Exp. Neurol. 50, 67-
79.

Melzack R, Wall PD (1965), Pain mechanisms: a new theory. Science (150) 971-979.

5   Melzack R (1987), The short-form McGill Questionnaire, Pain 30, 191-197.

Reeves JL, Jaeger B and Graff-Radford SB (1986), Reliability of the pressure algometer as a measure of
10   myofascial trigger point sensitivity, Pain 24, 313-321.

Stinus L, Auriacombe M, Tignol J, Limoge A, Le Moal M (1990), Transcranial electrical stimulation with high frequency intermittent current (Limoge's) potentiates
15   opiate-induced analgesia: blind studies. Pain 42, 351-363.

von Frey M (1896), Untersuchungen uber die Sinnesfunctionen der Menschlchen haut erste abhandlung:druckempfindung und schmerz, Abhandlung der Sachsischen Akademie der
20   Wissenschaften 23, 169-266.

Wall PD (1986), The discovery of Transcutaneous Electrical Nerve stimulation. Journal of Orthopaedic Medicine 3, 26-28.
25
Woolf CJ (1989), Segmental afferent fibre-induced analgesia: transcutaneous electrical nerve stimulation (TENS) and vibration. In: The Textbook of Pain. Eds: Wall, PD, Melzack R. 2nd Ed. Churchill Livingstone, pp 884-
30   896.

TABLE 1

RESULTS OF FIRST TSE TREATMENT on a group of 23 patients

No	Sex	Severity (0 nil - 10 agony)	Duration (months)	Diagnosis	% Relief (0 nil-100 total)	Duration of relief (hrs)
1	F	7	3	Hip Pain	50	72
2	F	8	180	Fused C5/6	50	2
3	M	5	240	Polymalgia rheumatica	50	16
4	F	9	3	Shoulder pain	50	4
5	F	3	1	TMJ (jaw) pain	60	17
6	M	3	0.5	Ankle pain	20	24
7	F	5	0.5	Foot pain	80	12
8	F	3	4	RA hand	100	70
9	F	8	132	Cervical spondylosis	100	120
10	F	4	360	RA feet, wrists	50	2
11	F	4	84	Sarcoid, back abdomen & shoulders	50	168
12	F	6	21	Back pain	50	1.5
13	M	too distressed to give an opinion as to severity	0.04	Post-op pain resection of lower 1/3rd oesophagus	100	7
14	F	5	6	Cervical spondylitis	75	96

No	Sex	Severity (0 nil - 10 agony)	Duration (months)	Diagnosis	% Relief (0 nil - 100 total)	Duration of relief (hrs)
15	F	Aged 92: unable to quantify pain numerically - described it as 'nasty'.	9	Cervical spondylitis	Better	120
16	M	4	1	2° Ca spine	100	96
17	F	6	5	L5/S1 PID	50	120
18	F	2	12	Achilles tendonitis	80	72
19	M	8	0.001	Ischaemic pain in the calves - running while unfit	90	NFP
20	M	10	1	Collapse of vertebral body c steroid therapy	90	9
21	M	2	120	Cervical spondylitis	80	24
22	F	3	3	Shoulder pain	100	72
23	F	8	0.03	Fractured humerus	90	48
Average		5	56		71	53

Key: NFP no further pain; RA rheumatoid arthritis; c associated with;
2° secondary carcinomatous deposits.

TABLE 2

The number of TSE treatments required to produce a
successful outcome in the next 50 consecutive patients.
Key:
c means associated with
OA means osteoarthritis
RTA road traffic accident
Success means pain relief at 60% or more (0, no relief;
100, complete relief)

No	Condition	years duration	number of treatments required for long term success	contd treatment reqd
24	Tennis Elbow	.2	3	
25	Low back pain	.25	3	
26	Knee pains	1.5	5	
27	Ovarian carcinoma	1.25		daily
28	Hip pain	.75	5	
29	Osteoporotic collapse of vertebra c steroid therapy	.5		daily
30	OA cervical spine and wrists	12.0	4	
31	Post-viral fatigue & post-operative cardiac bypass pain	3.0	12	
32	Shoulder pain	.5	3	
33	Post-operative pain (cholecystectomy) and a fall fracturing ribs	4.25	2	
34	Rheumatoid arthritis wrist and hands	.75	3	
35	Post-operative eye pain c correction of squint & drug addiction	5.25	10	

36	Posterior thoracic pain & anxiety depression	8.0	7	
37	Leg pains following episode of Guillain-Barré syndrome	4.5	4	
38	Neck pains c osteoporotic collapse of T1	1.5	5	
39	Generalised pains c sarcoid	12.0	5	
40	Generalised pains c Parkinson's disease	10.0		daily
41	Neck and low back pain c 3 prolapsed disc injuries	5.0		daily
42	OA neck and lumbar spine	11.0	8	
43	Leg pains & headache c anxiety	15.0		alter-nate days
44	Carcinomatous deposits in spine	2.0		daily
45	Elbow and shoulder pain	.25	2	
46	Dysmenorrhoea	4.0		once a month
47	Cervical spondylosis	10.0	5	
48	Severe generalised pains following a fall - compensation sought	0.75	3	
49	Hip pain c lumbar spine degeneration	4.5		once a month
50	Heel pain	2.0		Once a week
51	Post-operative pain following knee replacement	0.5	3	

52	Neck pain following Cloward's fusion	1.5	3	
53	Generalised joint pains	0.01	3	
54	Migrainous neuralgia	10.0		Twice daily during episodes
55	L4/5 disc requiring surgery	0.025	failed	
56	Posterior thoracic pain c OA cervical spine	3.25	3	
57	C5/6 disc requires surgery			daily
58	L4/5, L5/S1 disc requires surgery, compensation sought	5.5		daily
59	Athlete with back and leg pains	0.5	4	
60	Heel Pain	2.0	5	
61	Post-viral fatigue c generalised pain	3.0		twice a week
62	Osteoporotic collapse of T3	2.5	4	
63	Hip pain following a fall from scaffolding	4.5	5	
64	Polymyalgia rheumatica	5.5		daily
65	Throat pain c radiotherapy of larynx & morphine addiction	2.5		daily
66	Cervical spondylosis following RTA	12.0		once a week
67	Post-herpetic neuralgia	1.0	failed	

68	Pain in posterior thoracic region	0.5	2	
69	Pain in shoulder following a fall	0.25	4	
70	OA knees and cervical spine			once a week
71	Migraine	12.0		daily
72	OA neck c arm pain	25.0		once a week
73	Trigeminal neuralgia	0.5	1	

CLAIMS:

1.   Apparatus for producing analgesia through electrical
stimulation wherein the apparatus comprises two or more
electrodes adapted to supply electrical signals to two or
more locations on the surface of a body overlying the
central nervous system, wherein the apparatus further
comprises signal generating means connectable to the
electrodes which supply electrical pulses having rapid
rising and falling phases at parameters of pulse width,
frequency and amplitude such that analgesic effects tend to
be stimulated in the central nervous system while
stimulating peripheral nerves that lie between the
electrodes and the central nervous system to a lesser
extent or not all.

2.   The apparatus according to claim 1 wherein the
analgesic effects are produced in the spinal cord.

3.   The apparatus according to claim 1 or claim 2 wherein
the electrodes are applied to the body overlying the spinal
cord on or between the T1 and T12 vertebrae.

4.   The apparatus according to any one of the preceding
claims wherein the electrodes are separated by a distance
of about 10cm or more.

5.   The apparatus according to any one of the preceding
claims wherein the means provides a series of pulses 10µs
or less.

6.   The apparatus according to claim 5 wherein the pulse
width is between 1.5 to 4µs.

7.   The apparatus according to any one of the preceding
claims wherein the pulses are separated by a duration of

about 4μs or more.

8.   The apparatus according to any one of the preceding
claims wherein the pulses have a frequency between about
5   100Hz and 250KHz.

9.   The apparatus according to any one of the preceding
claims wherein the pulses have an amplitude of about 1kV or
less.

10

10.   The apparatus according to claim 9 wherein the
amplitude of the signal is less than about 250V.

11.   Apparatus according to any one of the preceding claims
15   for use in a method of treatment.
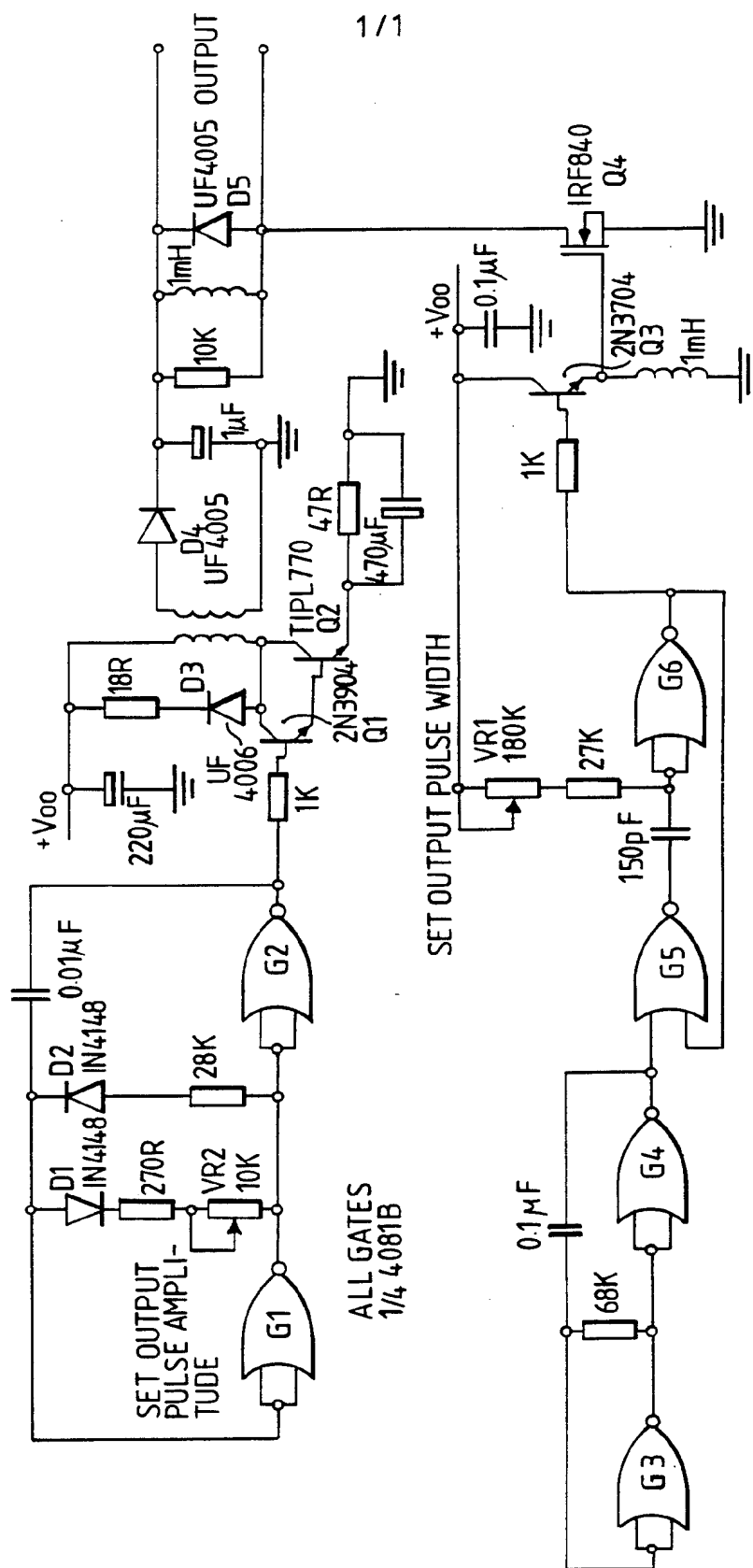
FIG.1.

INTERNATIONAL SEARCH REPORT

A. CLASSIFICATION OF SUBJECT MATTER

A 61 N 1/34,A 61 N 1/32

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A 61 N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	FR, A, 2 336 145 (GNUDDE MICHEL) 22 July 1977 (22.07.77), page 1, lines 12-16; page 2, lines 10-17; fig. 1.	1
A	--	8,11
X	DE, A1, 2 833 276 (MOSKOVSKIJ OBLASTNOJ) 22 February 1979 (22.02.79), page 5, last paragraph - page 6, first paragraph; fig. 2.	1
A	-- AT, B, E 23 803 (NEUROTRONIC) 10 April 1987 (10.04.87), claim 1. --	1

☐ Further documents are listed in the continuation of box C.

☐ Patent family members are listed in annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
22 April 1994	30. 05. 94

Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax (+31-70) 340-3016	ZAWODSKY e.h.

Form PCT/ISA/210 (second sheet) (July 1992)

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	PAIN, vol. 42, issued 1990, March 23 L. STINUS et al. "Transcranial electrical stimulation with high frequency intermittent current (Limoge's) potentiates opiate-induced analgesia: blind studies", pages 351-363, especially page 353 (cited in the application). ----	1,5,6

zum internationalen Recherchen- bericht über die internationale Patentanmeldung Nr.	to the International Search Report to the International Patent Application No.	au rapport de recherche inter- national relatif à la demande de brevet international n°

PCT/GB 94/211 SAE 84928

In diesem Anhang sind die Mitglieder der Patentfamilien der im obenge- nannten internationalen Recherchenbericht angeführten Patentdokumente angegeben. Diese Angaben dienen nur zur Unter- richtung und erfolgen ohne Gewähr.	This Annex lists the patent family members relating to the patent documents cited in the above-mentioned inter- national search report. The Office is in no way liable for these particulars which are given merely for the purpose of information.	La présente annexe indique les membres de la famille de brevets relatifs aux documents de brevets cités dans le rapport de recherche inter- national visée ci-dessus. Les reseigne- ments fournis sont donnés à titre indica- tif et n'engagent pas la responsabilité de l'Office.

Im Recherchenbericht angeführtes Patentdokument Patent document cited in search report Document de brevet cité dans le rapport de recherche	Datum der Veröffentlichung Publication date Date de publication	Mitglied(er) der Patentfamilie Patent family member(s) Membre(s) de la famille de brevets	Datum der Veröffentlichung Publication date Date de publication
FR A1 2336145	22-07-77	keine - none - rien	
DE A1 2833276	22-02-79	DE C2 2833276 FR A1 2398509 FR B1 2398509 IT A 1175366 JP A2 54048991 JP B4 57026138 SU T 692606 US A 4185640	21-10-82 23-02-79 26-08-83 01-07-87 17-04-79 02-06-82 25-10-79 29-01-80
EP A1 111229		AT E 23803 CA A1 1215128 DE C0 3367855 EP A2 111229 EP A3 111229 EP B1 111229 ES A1 527064 ES A5 527064 ES A1 8406886 PT A 77776 PT B 77776	15-12-86 09-12-86 15-01-87 20-06-84 25-07-84 26-11-86 16-08-84 17-09-84 16-11-84 03-01-84 20-03-86